

Bank No. **3211****17**

Social Security No. \_\_\_\_\_

**ACCOUNT HOLDER  
INFORMATION**

Name \_\_\_\_\_

Mailing Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Home Phone \_\_\_\_\_ Business Phone \_\_\_\_\_

**FORMER EMPLOYER**

Company Name \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_ Plan Acct Number \_\_\_\_\_

Contact Person \_\_\_\_\_ Phone Number \_\_\_\_\_

**REQUIRED MINIMUM  
DISTRIBUTION  
RESTRICTION****AGE 70 1/2 RESTRICTIONS**

If this rollover is being made during or after the year in which you turn age 70 1/2, you cannot roll over any distribution that would constitute a required minimum distribution. Please check with your Plan Administrator or former Custodian for more information.

**CONDUIT ELECTION  
AND ROLLOVER  
CERTIFICATION**This Rollover  should  should not be placed in a conduit IRA Plan.

Initial \_\_\_\_\_ I understand that if I roll over funds from a qualified retirement plan or a tax sheltered annuity into a conduit IRA, and at any time accept payments or funds from any other source into the conduit IRA, I will be unable to roll over the funds from the conduit IRA back into a qualified plan or tax sheltered annuity.

I understand that the rules and conditions applicable to rollovers and certify that I qualify for a rollover of the funds to be accepted by KeyBank as Custodian. Due to the important tax consequences of rolling funds over to an IRA or other qualified plan, I have been advised to see a tax advisor.

I hereby irrevocably designate this as a rollover contribution.

Account Holder \_\_\_\_\_ Date \_\_\_\_\_

**ACCEPTANCE BY  
KEYBANK**

KeyBank agrees to serve as the new Custodian for the account of the above-named individual, and as Custodian, we agree to accept the assets as specified in this form.

Authorized KeyBank Signature \_\_\_\_\_ Date \_\_\_\_\_

**Mailing Instructions**

This Rollover Certification is meant to supplement a Mandatory Rollover relationship. Forward this document to the Retirement Operations team at **KeyBank National Association, NY-00-01-0180, P.O. Box 1300, Buffalo, NY 14240-1300.**